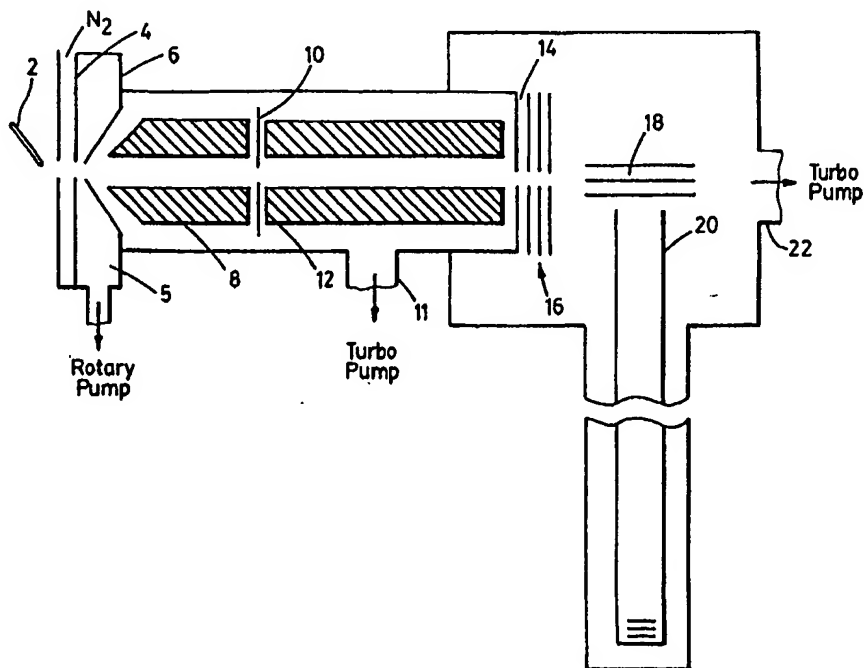




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/CA98/01106 <b>(22) International Filing Date:</b> 3 December 1998 (03.12.98) <b>(30) Priority Data:</b> 60/067,570 5 December 1997 (05.12.97) US <b>(71) Applicant (for all designated States except US):</b> UNIVERSITY OF BRITISH COLUMBIA [CA/CA]; 2036 Main Mall, Vancouver, British Columbia V6T 1Z1 (CA). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> DOUGLAS, Donald, J. [CA/CA]; #105-876 W. 16th Avenue, Vancouver, British Columbia V5Z 1T1 (CA). CAMPBELL, Jennifer, M. [CA/US]; Apartment 1, 37 Francesca Street, Somerville, MA 02143 (US). COLLINGS, Bruce, A. [CA/CA]; 2709 Bainbridge Avenue, Burnaby, British Columbia V5A 2S7 (CA). <b>(74) Agent:</b> BERESKIN & PARR; 40th floor, 40 King Street West, Toronto, Ontario M5H 3Y2 (CA).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> METHOD OF ANALYZING IONS IN AN APPARATUS INCLUDING A TIME OF FLIGHT MASS SPECTROMETER AND A LINEAR ION TRAP  <b>(57) Abstract</b> <p>A method of analyzing ions is carried out in a mass spectrometer apparatus comprising an ion source, a linear RF quadrupole and a time of flight mass spectrometer. Ions are generated from the ion source and passed into the linear RF quadrupole. To retain ions within the linear RF quadrupole, potentials are applied to either end of it and it is then operated as an ion trap. Ions of interest are selected in the linear RF quadrupole and unwanted ions are caused to be ejected. Selected ions are then excited and caused to collide with a neutral gas, to cause collision induced dissociation thereof, thereby forming fragment ions for analysis in the time of flight mass spectrometer. The potential of one end of the linear RF quadrupole is then adjusted to pass selected and fragment ions through to the time of flight mass spectrometer. This enables a spectrum of the selected and the fragment ions to be obtained from the time of flight mass spectrometer.</p>		



**Title: METHOD OF ANALYZING IONS IN AN APPARATUS INCLUDING  
A TIME OF FLIGHT MASS SPECTROMETER AND A LINEAR ION TRAP**

**FIELD OF THE INVENTION**

This invention relates to mass spectrometry, and more  
5 particularly is concerned with a method of analyzing ions in an apparatus  
including a time of flight mass spectrometer.

**BACKGROUND OF THE INVENTION**

In the field of mass spectrometry, a wide variety of  
different spectrometers have been developed, and combinations of different  
10 spectrometer elements. One well-known type of spectrometer is a  
quadrupole mass spectrometer, and it is known to provide devices with two  
or more mass analyzing quadrupole stages to provide MS/MS capabilities.  
It is also known to combine a quadrupole stage with a time of flight mass  
spectrometer (TOF MS), as detailed below. A TOF MS has the advantages of  
15 high scan speed, unlimited mass range and, if a reflectron is used, a  
resolution of 10,000 or more. However, TOF MS does not normally provide  
MS/MS capabilities. 3D ion trap mass spectrometers can perform MS/MS  
analysis in a comparatively simple device, but generally are operated at a  
lower resolution than a reflectron TOF MS. Higher resolution can be  
20 achieved with an ion trap mass spectrometer, but only with very low scan  
speeds. As well, it is difficult to inject ions from an external source into a 3D  
ion trap and the mass range is limited.

One proposal by one of the inventors of the present  
invention is disclosed in U.S. Patent 5,179,278. This patent describes the use  
25 of an RF multipole ion guide as an interface between an ion source and an  
ion trap. The intention is to improve the duty cycle of the ion trap mass  
spectrometer. However, there is no specific teaching of using the multipole  
device itself in a full trapping mode to provide MS/MS capabilities. Rather,

the teaching is that the trapping is obtained by applying selected electric potentials at the ends of the space in the multipole device, to cause ions to be reflected from a second, outlet end to a first, inlet end and then back again towards the second, outlet end. This retains the ions in the space for a longer period of time, this being long enough for analysis to be carried out on a previous ion sample fed to the ion trap spectrometer.

U.S. Patent 5,652,427 describes the use of an RF multipole that includes a number of separate stages with different degrees of vacuum. However, the arrangement is intended simply to transfer ions from a high pressure source to a mass spectrometer. There is no teaching of trapping of ions in any multipole stage nor any teaching of MS/MS capabilities through resonant excitation and ejection and the like.

U.S. Patent 5,420,425 (Bier et al. and assigned to Finnigan Corporation) is concerned with an ion trap mass spectrometer, for analyzing ions. It has electrodes shaped to promote an enlarged ion occupied volume. A quadrupole field is provided to trap ions within a predetermined range of mass-to-charge ratios, and the field is then changed, so that trapped ions with specific masses become unstable and leave the trapping chamber in a direction orthogonal to the central axis of the chamber. The ions leaving the spectrometer are detected, to provide a signal indicative of their mass-to-charge ratios. The patent does teach a method of first introducing ions within a pre-determined range of mass-to-charge ratios into the chamber and subsequently changing the field to select just some of the ions for further manipulation. The quadrupole field is then adjusted so as to be capable of trapping product ions of the remaining ions. The remaining ions are then dissociated or reacted with a neutral gas to form those product ions. The quadrupole field is then changed again, to remove, for detection, ions whose mass-to-charge ratios lie within the desired range. It is noteworthy that the ions are not detected by a Time of

Flight (TOF) instrument. The Finnigan device, since it uses radial ejection, will produce a stream of ions having broad space and velocity distributions. It would be difficult to manage such a beam and introduce it into a TOF MS analyzer.

5 Other workers have demonstrated interfacing a TOF MS to an electrospray source, using a linear RF quadrupole operated at moderately high pressure (for example Chernushevich et al., presented at the 44th ASMS Conference on Mass Spectrometry and Allied Topics, Portland, Oregon, May 12-16, 1996). Also, other workers have used hexapole and  
10 octopole ion guides instead of a quadrupole. In hexapole and octopole fields, ions of different  $m/z$  do not normally have well defined frequencies of motion and so resonant excitation or ejection of selected ions is not possible, and this is a significant advantage of using a quadrupole.

It has also been known to use a 3D ion trap as an interface  
15 between an ion source and a TOF MS (S. M. Michael et al, *Rev. Sci. Instr.* 63, 4277-4284, 1992; Purves and Li, *J. Microcolumn Separations* 7 (6) 603, 1995). The 3D ion trap can be provided with MS/MS capability (Qian and Lubman, *Rap. Commun. Mass. Spec.* 10, 1079, 1996). Use of a three dimensional ion trap has a number of disadvantages. Firstly, ion injection efficiency is at  
20 least ten times less than the efficiency with a two dimensional quadrupole. Secondly, the ion storage volume is less in the three dimensional trap, so that only a relatively small number of ions can be stored, without space charge problems, and for this reason, the concentration dynamic range is limited in a three dimensional trap.

25 A related approach has been proposed using two separate multipoles and then a TOF mass spectrometer (H. R. Morris et al., *Rap. Commun. Mass. Spec.* 10, 889, 1996). Here, selection of ions in a given  $m/z$  is carried out conventionally in a first quadrupole mass filter. These are then passed to an RF only hexapole and dissociated by collision with a

neutral gas. Resulting ions then pass through to a TOF MS, to obtain a spectrum of the product ions. In addition, a system with a first mass analyzing quadrupole and a second RF only quadrupole has been described (Chevchenko *Rapid Communications in Mass Spectrometry*, Vol 11, Page 1015-1024, 1997). Both of these systems are relatively complex and expensive and have a number of stages, which will likely lead to loss of sensitivity.

Finally, a more recent proposal is found in an article entitled "A New Technique for Decomposition of Selected Ions in Molecule Ion Reactor Coupled with Ortho-Time-of-Flight Mass Spectrometry" (A. Dodonov et al. *Rapid Communications in Mass Spectrometry*, 11, 1649-1656, 1997). This paper shows restricted experimental results carried out on, in effect, pre-selected ions, i.e. the tests were carried out with a single chemical compound. There is no specific teaching of using the apparatus to carry out the selection stage. Two modes of ion dissociation are disclosed. In a first mode, the motion of parent and fragment ions is chosen to be stable, and the RF electric field forces the ions to oscillate around the quadrupole axis. Simultaneously, a DC potential is applied along the axis of the device to accelerate the ions, and the strength of this field controls the collision induced fragmentation of the ions. The quadrupole is filled with gas at around 1 mbar of pressure for this purpose. There is no ion mass to charge ratio selection and all ions present will be accelerated by this field. Fragment ions with mass-to-charge ratios both above and below the  $m/z$  of a parent ion can be transmitted to a TOF mass analyzer for analysis. It can be noted that the applied field will also cause acceleration and possible further fragmentation of the fragment ions, although control over the strength of the field can limit this to some extent. Nonetheless, the applied axial field will not discriminate between the different types of ions.

In a second mode, ions are fragmented by confining them in the quadrupole with the RF electric field chosen to have an amplitude

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and frequency such that desired ions are close to the limit for stable ion motion with Mathieu's parameter  $q=0.9$ . This causes an increase of the parent ion velocity and thus leads to collisional "heating" and fragmentation of the parent ions. Then, only those ions with  $m/z$  ratios  
5 above that of the precursor or parent ion are stable in the quadrupole and only these ions are transmitted to the detector, i.e. the TOF MS. Ions with an  $m/z$  ratio smaller than that of the parent ion are rejected due to the unstable character of their motion. For multiply charged ions this may not be a severe limitation because some fragment ions may have lower charges  
10 and hence higher mass to charge ratios, but for singly charged ions no fragments will be detected.

A disadvantage of both modes is that all ions in the quadrupole are excited at the same time and dissociate. If there are two compounds present, they would both fragment and in general it would not  
15 be possible to tell which fragments came from which precursor.

#### SUMMARY OF THE INVENTION

The present inventors have realised that one can obtain the capabilities of a tandem mass spectrometer in a relatively simple device, by combining a linear quadrupole, or other multipole, with a TOF MS. The  
20 quadrupole, or other multipole, is operated as an ion trap, and an ion is selected by resonant ejection of ions of other masses or otherwise. The isolated ions are then excited and caused to undergo collision induced dissociation or fragmentation, in the quadrupole or other multipole.

In accordance with the present invention, there is  
25 provided a method of analyzing ions in a mass spectrometer apparatus comprising an ion source, a linear RF quadrupole and a time of flight mass spectrometer, the method comprising the steps of :

(1) generating ions from the ion source and passing the

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ions into the linear RF quadrupole;

(2) applying potentials at either end of the linear RF quadrupole and operating the linear RF quadrupole as an ion trap;

(3) selecting ions of interest in the linear RF quadrupole  
5 and ejecting unwanted ions;

(4) exciting the ions and causing the ions to collide with a neutral gas, to cause collision induced dissociation thereof, thereby forming fragment ions for analysis in the time of flight mass spectrometer.;

(5) adjusting the potential of one end of the linear RF  
10 quadrupole, to pass the selected and the fragment ions through to the time of flight mass spectrometer; and

(6) obtaining a spectrum of the selected and the fragment ions in the time of flight mass spectrometer.

It is preferred to use a quadrupole device, as these  
15 inherently have well-defined stability parameters and frequencies of excitation for a particular ion. The x and y motions are separate and either can be excited with good selectivity. However, for some applications, it might be desirable or possible to use other 2D multipole designs, such as a hexapole or octopole. If such an instrument is operated with low RF  
20 voltages, the ion motion is approximately harmonic motion with well defined frequencies (as described by Gerlich in Advances in Chemical Physics vol 82 1992, pages 1-176). It should also be noted that the linear RF quadrupole or multipole can comprise a single quadrupole or multipole or alternatively two sets of quadrupole or multipole rods can be provided in  
25 tandem.

A further aspect of the present invention is that the linear RF quadrupole can be used to carry out multiple mass spectrometry steps, so as to perform  $MS^n$ . Thus the method can include, after step (4), an additional step of isolating and exciting one or more fragment ions, in the

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linear RF quadrupole, to cause collision induced dissociation of one or more of the fragment ions to form further fragment ions. Further, the method can include multiple cycles of isolating and exciting one or more of the fragment ions in the linear RF quadrupole, wherein each cycle comprises  
5 isolating and exciting at least one or more of the fragment ions formed in the previous cycle to form further fragment ions. In each cycle all the fragment and the selected ions can be excited to cause collision induced dissociation.

The selected ions and/or the fragment ions can be excited  
10 by one of (i) exciting the selected ions by resonance excitation at a particular secular frequency and (ii) applying a broadband excitation waveform, to cause collision induced dissociation of the selected ions.

Yet another aspect of the invention provides an apparatus incorporating one or more linear RF quadrupoles, or other multipoles, and  
15 a time of flight mass spectrometer, and adapted to carry out the method of the present invention.

#### **BRIEF DESCRIPTION OF THE DRAWING**

For a better understanding of the present invention and to show more clearly how it may be carried into effect, reference will now be  
20 made, by way of example, to the accompanying drawing, which shows schematically a preferred embodiment of the present invention.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

With reference to the drawing, an electrospray source is indicated at 2. It will be appreciated that any suitable ion source could be  
25 used such as EI (electron ionization), CI (chemical ionization), laser desorption, etc. Ions from the source 2 pass through an orifice 4. A supply of nitrogen gas, which maybe heated, is provided as indicated, to promote



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vaporization of solvent. The ions then pass into a chamber 5 provided with a connection to a rotary pump, to maintain a desired low pressure. A skimmer 6 then provides an orifice through which desired ions pass into a first RF quadrupole 8. In known manner, this includes a quadrupole rod set  
5 provided with usual connection for supply of RF and DC voltages. The quadrupole 8 is operated in the RF only mode, to transmit ions of a broad range of mass to charge ratios. For simplicity, details of electrical connections, and electrical supplies are omitted.

An entrance lens 10 separates the first quadrupole 8 from a  
10 second RF quadrupole 12, but note that the lens 10 does not separate two chambers as the two quadrupoles 8, 12 are essentially in a single chamber, although two chambers at different pressures could be used. The second quadrupole 12 is also operated in the RF only mode. As indicated at 11, a connection is provided to a turbo pump, for maintaining a pressure of, for  
15 example, around 1-10 millitorr. As indicated, the first quadrupole 8 is shorter than the second quadrupole 12. For example, the first quadrupole 8 can have a length of 5 cm and the second quadrupole 12 can have a length of 20 cm, i.e. the quadrupoles need not be of the same length.

At the exit from the second quadrupole 12, there is an exit  
20 aperture 14 and then a series of additional lens or electrodes 16, for controlling the ion beam and ensuring that it passes into a source region 18 of a time of flight mass spectrometer (TOF MS) 20.

Here, the time of flight mass spectrometer 20 is shown  
orthogonal to the axis of the quadrupoles 8, 12. It will be appreciated that  
25 the TOF MS 20 could equally be axially arranged relative to the quadrupoles 8, 12. In known manner, a connection 22 is provided, to enable the TOF MS to be pumped down to the desired level of vacuum.

In use, if potentials of the entrances and exits of the quadrupoles 8, 12 are set to continuously transmit ions, then conventional

operation of the TOF MS 20 gives a mass spectrum of ions from the source  
2. In known manner, the electrodes at the source region 18 of the TOF MS  
20 are activated to collect and provide pulses of ions travelling through the  
TOF MS, whose time of flight is measured, to give a spectrum for those  
5 ions.

Now, in accordance with the present invention, stopping  
potentials can be applied at the entrance and exit of either one or both of the  
first and second quadrupoles 8, 12. This serves to trap ions in the respective  
quadrupole. Then, unwanted trapped ions can be ejected by resonant  
10 excitation at the secular frequencies of the ions. Also, ions of a single  $m/z$   
value can be trapped and isolated by ejection of all other ions with a filtered  
noise field or SWIFT waveform as is known, this essentially being a noise  
waveform with a notch or gap at the frequency corresponding to the secular  
frequency of the ion of interest. The isolated ions can then be excited and  
15 dissociated by collision with a neutral gas. There are a number of ways of  
causing excitation, collision and fragmentation. Then, the resultant ion  
fragments can be driven or transferred into the TOF MS 20 by lowering the  
trapping voltage on the electrode 14 between the second quadrupole 12 and  
the TOF MS 20. The ions enter the source region of the TOF MS 20 and the  
20 mass spectrum of fragment ions can be obtained.

Ions can be permitted to enter the source region 18 of the  
TOF MS with near thermal energies that they may have from the second  
quadrupole 12. Alternatively, they can be accelerated towards the source  
region 18 by setting up a suitable axial field in the second RF quadrupole 12  
25 (as described by B.Thomson et al., at the 44th ASMS conference on mass  
spectrometry and allied topics, May 12-16th, Portland, Oregon, 1996).  
Thermal ions typically take of the order of tens of milliseconds to transfer to  
the source region of the TOF MS and accelerating the ions into the TOF MS  
20 has the advantage of reducing the transfer time down to ca. 1 ms.

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Thus, for example, in ICP-MS, intense  $\text{Ar}^+$  ions can cause difficulties and can effectively paralyze the detector. To overcome this, a sample of ions from the source 2 can be passed into the first quadrupole 8. There, potentials can be applied to the skimmer 6 and lens 10, and a field applied at the resonance frequency of the  $\text{Ar}^+$  ions to effectively eject them from the ion sample. Then, the voltage on the lens 10 can be adjusted to cause the ion sample to pass into the second quadrupole 12. There, a filtered noise field or SWIFT waveform could be provided to further isolate an ion of interest. The voltage at the aperture 14 and lens 16 would then be adjusted to cause the desired ion to pass into the TOF MS 20.

## WE CLAIM:

1. A method of analyzing ions in a mass spectrometer apparatus comprising an ion source, a linear RF quadrupole and a time of flight mass spectrometer, the method comprising the steps of :
  - 5 (1) generating ions from the ion source and passing the ions into the linear RF quadrupole;
  - (2) applying potentials at either end of the linear RF quadrupole and operating the linear RF quadrupole as an ion trap;
  - (3) selecting ions of interest in the linear RF quadrupole
  - 10 and ejecting unwanted ions;
  - (4) exciting the selected ions and causing the ions to collide with a neutral gas, to cause collision induced dissociation thereof, thereby forming fragment ions for analysis in the time of flight mass spectrometer.;
  - (5) adjusting the potential of one end of the linear RF
  - 15 quadrupole, to pass the selected and the fragment ions through to the time of flight mass spectrometer; and
  - (6) obtaining a spectrum of the selected and the fragment ions in the time of flight mass spectrometer.
2. A method as claimed in claim 1, wherein step (3) comprises one of (i)
- 20 ejecting ions having a predetermined secular frequency by excitation at said secular frequency, and (ii) applying a filtered noise field to eject ions other than a desired ion having a single  $m/z$  value.
3. A method as claimed in claim 1 or 2, which includes, after step (4), an
- 25 additional step of exciting one or more of the fragment ions, in the linear RF quadrupole, to cause collision induced dissociation of one or more of the fragment ions to form further fragment ions.

4. A method as claimed in claim 3, which includes multiple cycles of exciting one or more of the fragment ions in the linear RF quadrupole, wherein each cycle comprises exciting one or more of the fragment ions formed in the previous cycle to form further fragment ions.
- 5 5. A method as claimed in claim 4, wherein in each cycle all the fragment and the selected ions are excited to cause collision induced dissociation.
- 10 6. A method as claimed in claim 1, which includes exciting the selected ions by one of (i) exciting the selected ions by resonance excitation at a particular secular frequency and (ii) applying a broadband excitation waveform, to cause collision induced dissociation of the selected ions.
- 15 7. A method as claimed in claim 5, which includes exciting the selected ions and one or more of the fragment ions by one of (i) exciting the selected and fragment ions by resonance excitation at a particular secular frequency and (ii) applying a broadband excitation waveform, to cause collision induced dissociation of the selected and fragment ions.
8. A method of analyzing ions in a mass spectrometer apparatus comprising an ion source, a linear RF multipole and a time of flight mass spectrometer, the method comprising the steps of :
- 20 (1) generating ions from the ion source and passing the ions into the linear RF multipole;
- (2) applying potentials at either end of the linear RF multipole and operating the linear RF multipole as an ion trap;
- (3) selecting ions of interest in the linear RF multipole and

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ejecting unwanted ions;

(4) exciting the selected ions and causing the ions to collide with a neutral gas, to cause collision induced dissociation thereof, thereby forming fragment ions for analysis in the time of flight mass spectrometer.;

5 (5) adjusting the potential of one end of the linear RF multipole, to pass the selected and the fragment ions through to the time of flight mass spectrometer; and

(6) obtaining a spectrum of the selected and the fragment ions in the time of flight mass spectrometer.

10 9. A method as claimed in claim 8, wherein step (3) comprises one of (i) ejecting ions having a predetermined secular frequency by excitation at said secular frequency, and (ii) applying a filtered noise field to eject ions other than a desired ion having a single  $m/z$  value.

15 10. A method as claimed in claim 8 or 9, which includes, after step (4), an additional step of exciting one or more of the fragment ions, in the linear RF multipole, to cause collision induced dissociation of one or more of the fragment ions to form further fragment ions.

20 11. A method as claimed in claim 10, which includes multiple cycles of exciting one or more of the fragment ions in the linear RF multipole, wherein each cycle comprises exciting one or more of the fragment ions formed in the previous cycle to form further fragment ions.

25 12. A method as claimed in claim 11, wherein in each cycle all the fragment and the selected ions are excited to cause collision induced dissociation.

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13. A method as claimed in claim 8, which includes exciting the selected ions by one of (i) exciting the selected ions by resonance excitation at a particular secular frequency and (ii) applying a broadband excitation waveform, to cause collision induced dissociation of the selected ions.
- 5 14. A method as claimed in claim 12, which includes exciting the selected ions and one or more of the fragment ions by one of (i) exciting the selected and fragment ions by resonance excitation at a particular secular frequency and (ii) applying a broadband excitation waveform, to cause collision induced dissociation of the selected and fragment ions.
- 10 15. A method as claimed in claim 8, which comprise carrying out the method using one of a hexapole and an octopole as the linear RF multipole.

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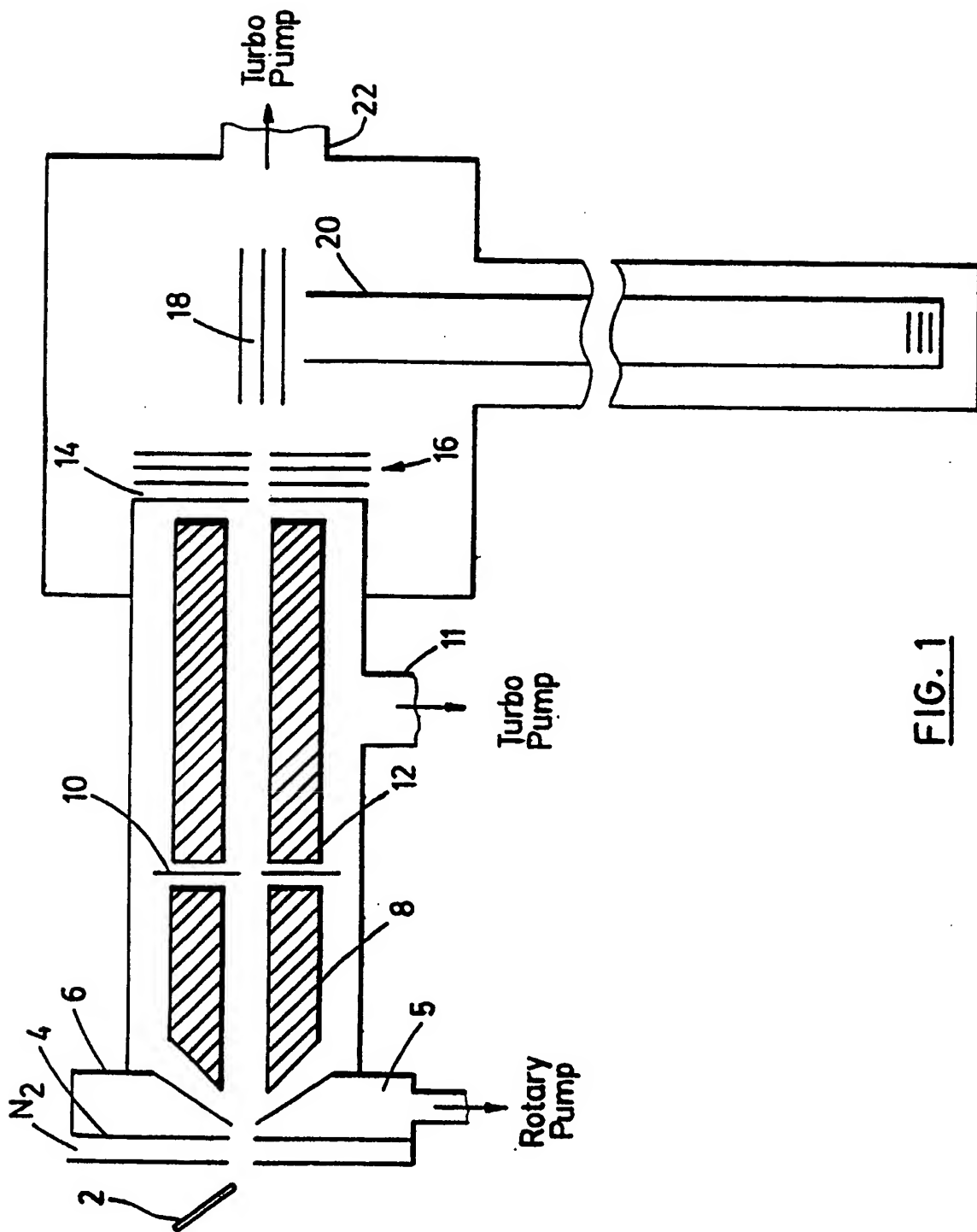


FIG. 1



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 98/01106

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 H01J49/40 H01J49/42

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

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Date of the actual completion of the international search

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 98/01106

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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